Refinitiv World-Check Risk Intelligence

Special Interest Categories Feature

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Refinitiv World-Check Special Interest Category Feature
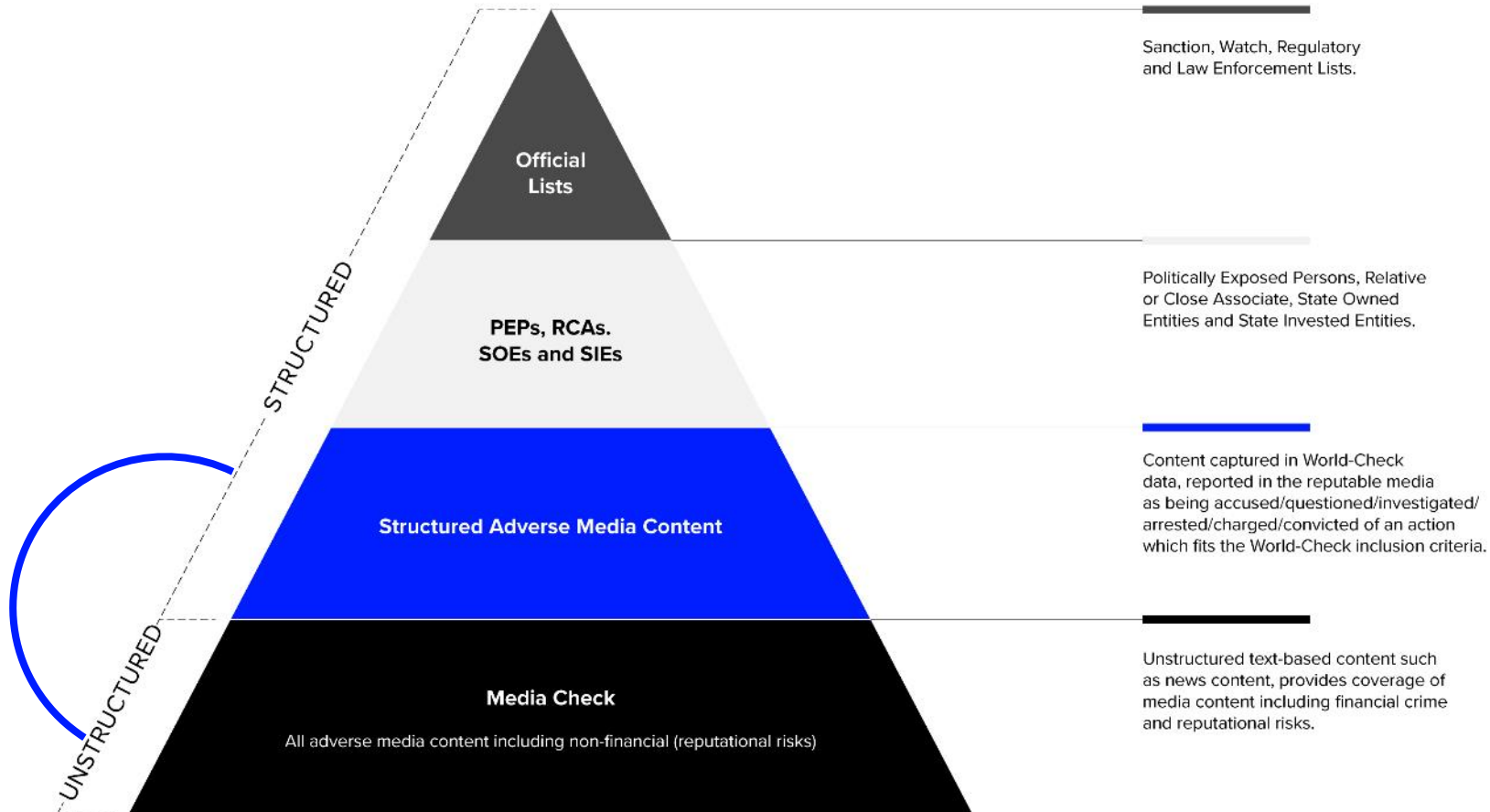
Adverse Media Screening

Overview

Adverse media screening forms a crucial part of customer or third-party due diligence processes to uncover risks indicating a party's potential involvement in alleged criminal activity which could give rise to financial, reputational or other types of risks to your business.

Ensuring your organization has access to quality adverse media content, both structured and unstructured, is essential to being able to identify those potential risks and make informed decisions during onboarding and on-going monitoring in order to comply with laws and regulations and drive your business forward with confidence.

Refinitiv World-Check Risk Intelligence data combines the best of structured and unstructured Adverse Media data to help you to protect your organizations from financial crime and reputational harm.

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World-Check Structured and Unstructured Content

At Refinitiv, we think of adverse media as both structured and unstructured adverse media content

Sanction, Watch, Regulatory and Law Enforcement Lists.

Politically Exposed Persons, Relative or Close Associate, State Owned Entities and State Invested Entities.

Content captured in World-Check data, reported in the reputable media as being accused/questioned/investigated/arrested/charged/convicted of an action which fits the World-Check inclusion criteria.

Unstructured text-based content such as news content, provides coverage of media content including financial crime and reputational risks.

Structured Adverse Media

Our World-Check Risk Intelligence database includes "structured" adverse media.

Structured Adverse Media Content

This structured Adverse Media helps you to identify entities and individuals reported in the reputable media as being:

- **accused**

- **questioned**

- **investigated**

- **arrested**

- **charged, or**

- **convicted**

of specific offences that World-Check monitors.

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The Categorisation Challenge

Pre-Conviction Categories

Individuals who are investigated, accused, arrested, charged, questioned, detained etc., remain categorised as INDIVIDUAL until conviction.

Organisations or Corporates remain ORGANISATION or CORPORATE.

Post Conviction /pleading guilty Categories

- – CRIME – NARCOTICS
- – CRIME – ORGANISED
- – CRIME – WAR
- – CRIME – FINANCIAL
- – CRIME – TERRORISM
- – CRIME – OTHER

The specifics of the actual offence/s with more detail are included as a synopsis in the REPORTS section of the record.

MALE

UID

NEWS-CHECK   DISPLAY GRAPH

TOOLS

Last Name

Category
CRIME - OTHER
Subcategory

First Name

WORLD-CHECK KEYWORD(S)   Compact List I Full List

CAEC USDOJ

ALTERNATIVE SPELLING

ALIAS(ES)

ORIGINAL

REPORTS

Oct 2013 - pleaded guilty to Lacey Act including, illegal hunting, falsification of documents, and wildlife trafficking from Canada to the USA _____ (May 2002 - Nov 2011) (1:13-cr-00006). Sentenced to 4 years probation and fined CAD40,000. Banned from hunting anywhere in the world for 2 years. Hunting license revoked until May 2016. Prohibited from guiding, outfitting, transporting, accompanying, or assisting hunters and from related activities until 2018. Ordered to surrender guide license for life. May 2015 - pleaded guilty in _____ to illegally importing and exporting wildlife. Illegally possessed 1 Alaskan brown bear hide, 2 black bear hides, and 3 wolverine hides that had been illegally imported. Also exported 2 Dall sheep, moose meat, and a Yukon grizzly bear hide to Alberta. Sentenced to pay a fine of CAD20,000. Banned from carrying a firearm and from accompanying anyone hunting in _____ for 10 years (with exceptions). Also banned from obtaining any import/export permits for 10 years. Apr 2020 - no further information reported.

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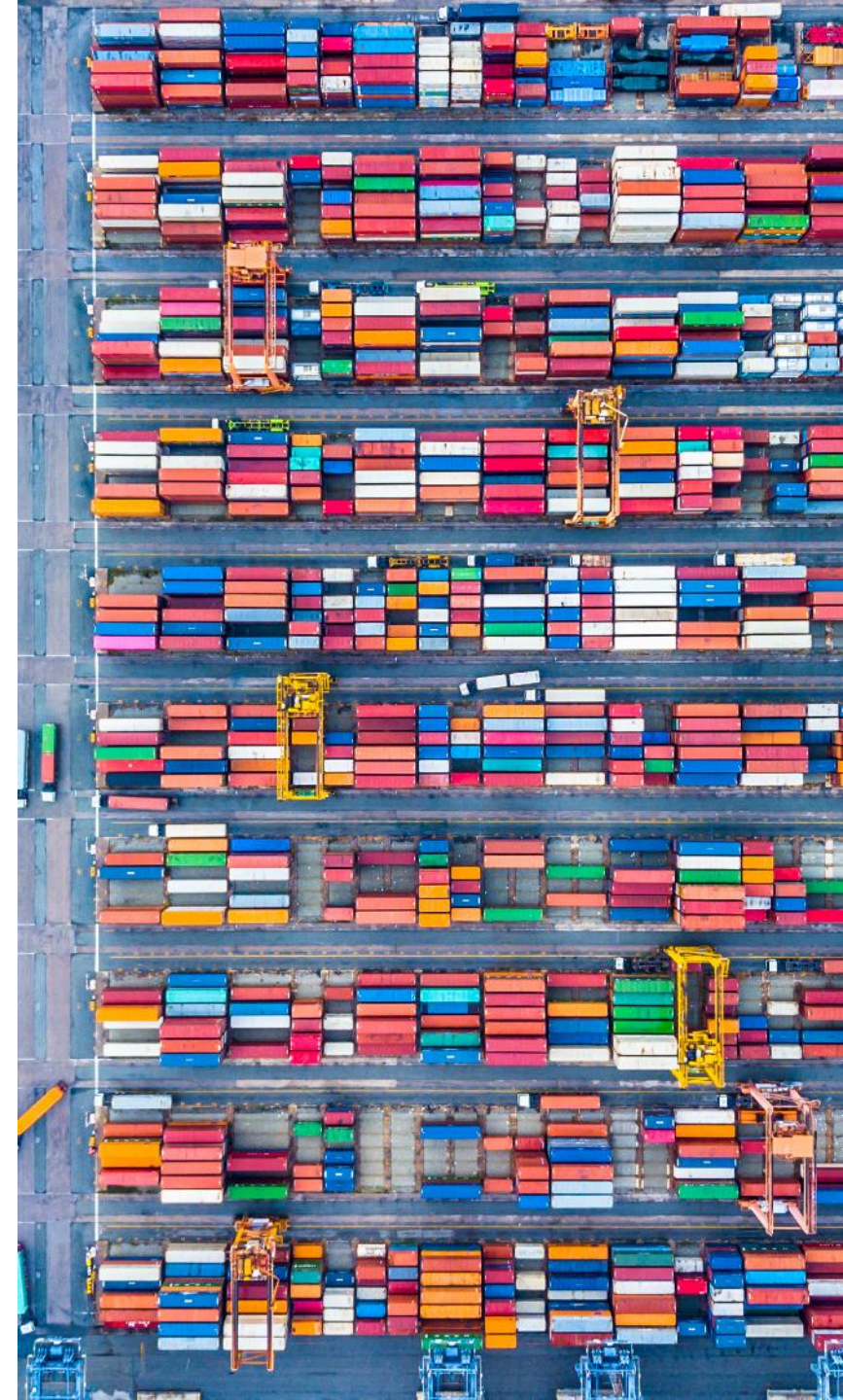# Introducing Special Interest Categories (SIC)

Helping to solve the challenge

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Introducing Special Interest Categories (SIC)

Helping to solve the challenge

Together with the help of you, our customer, we identified more than 60 areas of potential risk that have relevance to help you comply with regulations, drive your customer and third-party due diligence processes forward and help safeguard your business against reputational damage.

Available for Advanced level subscribers

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The special interest categories of potential risk at a glance

The categorisations will be applied to all records including adverse media records

Absconder or Fugitive	Abuse of Office	Aiding and Abetting	Aircraft Hijacking and Aviation Crime	Antitrust Violation or Unlawful Competition	Arms and Ammunition Possession
Arms and Ammunition Trafficking	Arson and Destruction of Property	Breach of Fiduciary Duty	Bribery and Corruption	Conspiracy and Collusion	Consumer Protection Violation
Control or Regulation Violation	Counterfeiting or Piracy	Crimes against the State	Cybercrime	Data Privacy Breach	Deported or Exiled
Disciplinary Action	Disqualified and Disbarred	Dissolved Company	Energy Crime	Environmental Crime	Explicit Sanctions
Exploitation of Children	Extortion	Financial Services Warnings	Forced and Slave Labour	Forgery and Uttering	Former Explicit Sanctions
Former Implicit Sanctions	Fraud	Frozen and Seized Assets	Hate Crime	Healthcare Fraud	Human Rights Violation
Human Trafficking	Illegal Gambling	Illegal Immigration	Illegal Possession or Sale	Illegal Restraint or Kidnapping	Implicit Sanctions
Insider Trading	Insolvency, Liquidation or Bankruptcy	IP Rights Infringement	Labour Rights Violations	Licence Revocation	Money Laundering
Narcotics Trafficking	Obstruction of Justice	Organised Crime	Other	Pharmaceutical Trafficking	Sanctions Related
Securities Violation	Sexual Exploitation	Smuggling	Tax and Customs Violation	Tender Violation and Restrictions	Terror Related
Theft and Embezzlement	Trafficking in Stolen Goods	Travel or Visa Restriction	Unlawful Money Lending	Violent Crime	War Crime
Wildlife Crime					

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Special Interest Categories

Helping to solve the challenge

Select Categories

The Special Interest Categories point to the reasons for the inclusion of the Individual or Entity on the World-Check database.

This new feature offers you the ability to select the specific special interest topics relevant to your business risk-based approach.

Clear Indicators

The Special Interest Categories provide you with a clear indication of the potential risk associated to the Pre and Post Conviction records included on the World-Check Database.

Records returned in your results have a clear label – dependent on the delivery method you use – and additionally details of the specific special interest category or categories associated to that record.
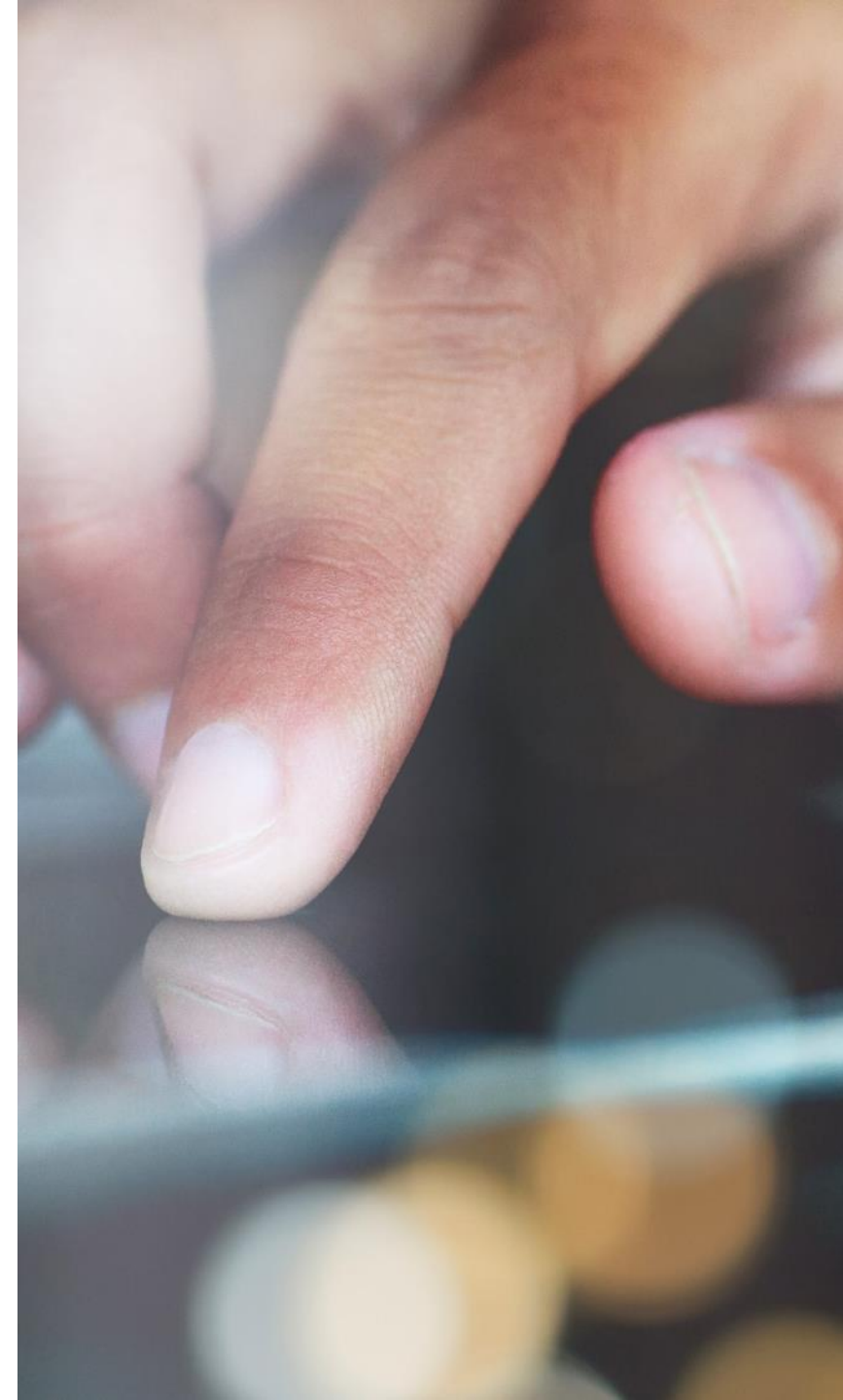
Special Interest Categories

Tagging and validation process explained

Using a combination of AI, Natural Language Processing, machine learning, specific taxonomy and human review, records have been tagged according to the risk category or categories they represent.

Automated Tagging

Machine Learning models

Rules Engine

Editorial DB

Proposed SICs

Validate

Store

Editorial DB

Ongoing Monitoring

Quality

Productivity

Data Mngt. & Content Specialist feedback

Continuous Improvement

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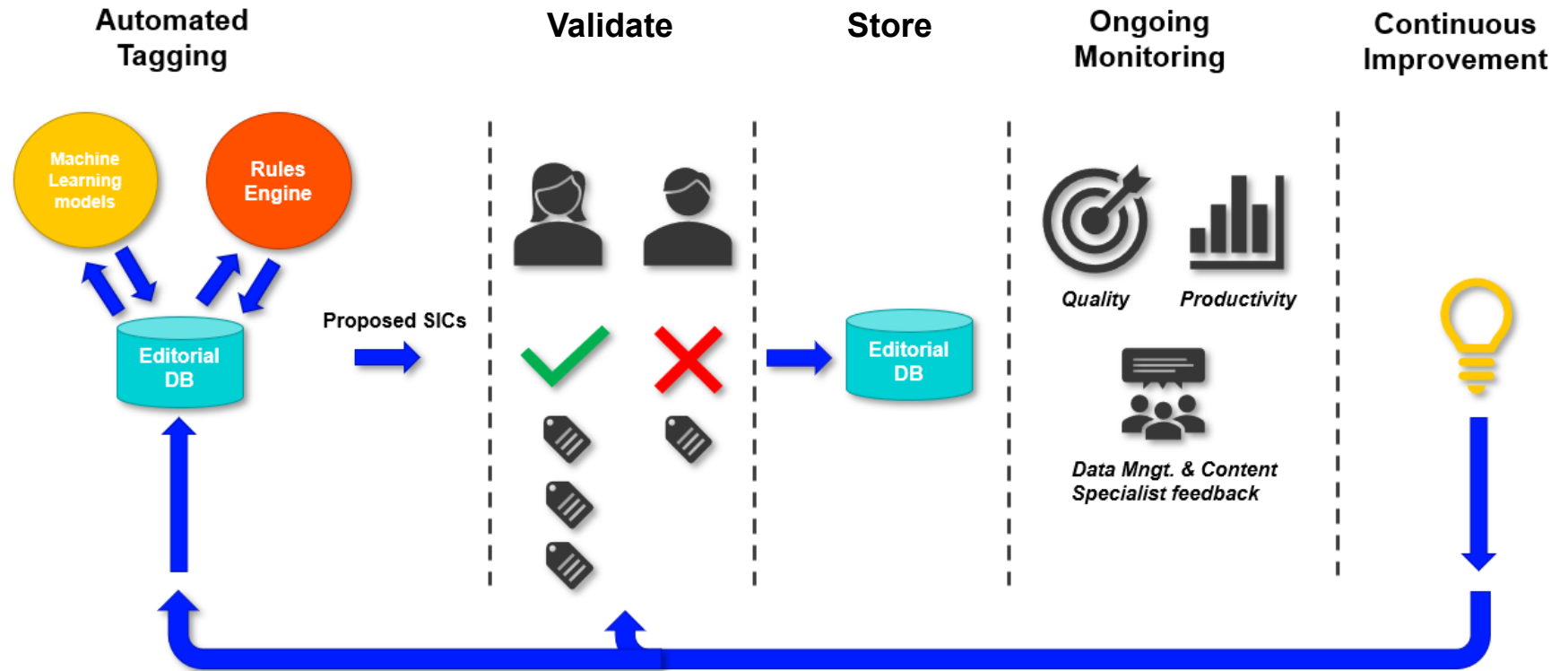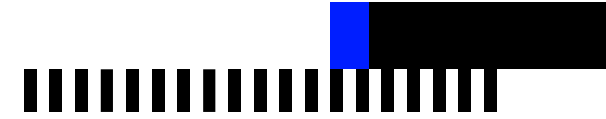
Special Interest Categories in Action

Data File, World-Check One and APIs

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World-Check Data File

Special Interest Categories Field Selection in Dynamic Download

Within the Configure screen on the Dynamic Download Data File you can select Special Interest Categories from the field names.

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World-Check Data File

Special Interest Categories Filter in Advanced Dynamic Download

Within the Filter Select screen you can choose one or multiple Special Interest Categories

Special Interest Categories

Identifying results in the World-Check Advanced Data File

If a record is associated with multiple Special Interest Categories, the results will include all of them as well as the ones initially selected, in this example "Exploitation of Children". Giving you a more complete view of any potential risk.

XML Data File

```
<special_interest_categories>
<special_interest_category>Forgery and Uttering</special_interest_category>
<special_interest_category>Exploitation of Children</special_interest_category>
<special_interest_category>Licence Revocation</special_interest_category>
</special_interest_categories>
</details>
```

CSV Data File

UID	SPECIAL INTEREST CATEGORIES
#	Forgery and Uttering; Exploitation of Children Licence Revocation

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Special Interest Categories

Working with the World-Check One Desktop

The Special Interest Categories feature is incorporated into World-Check One as an additional Dataset. You can select the Special Interest Categories you wish to include in your screening universe.**

When setting up your screening filters for example you can choose to exclude PEPs as a Dataset but include relevant Special Interest Categories. Should a PEP be tagged with any of the Special Interest Categories* chosen in your initial screening selection they will be returned in the results.

*full functionality only once all special interest categories are tagged
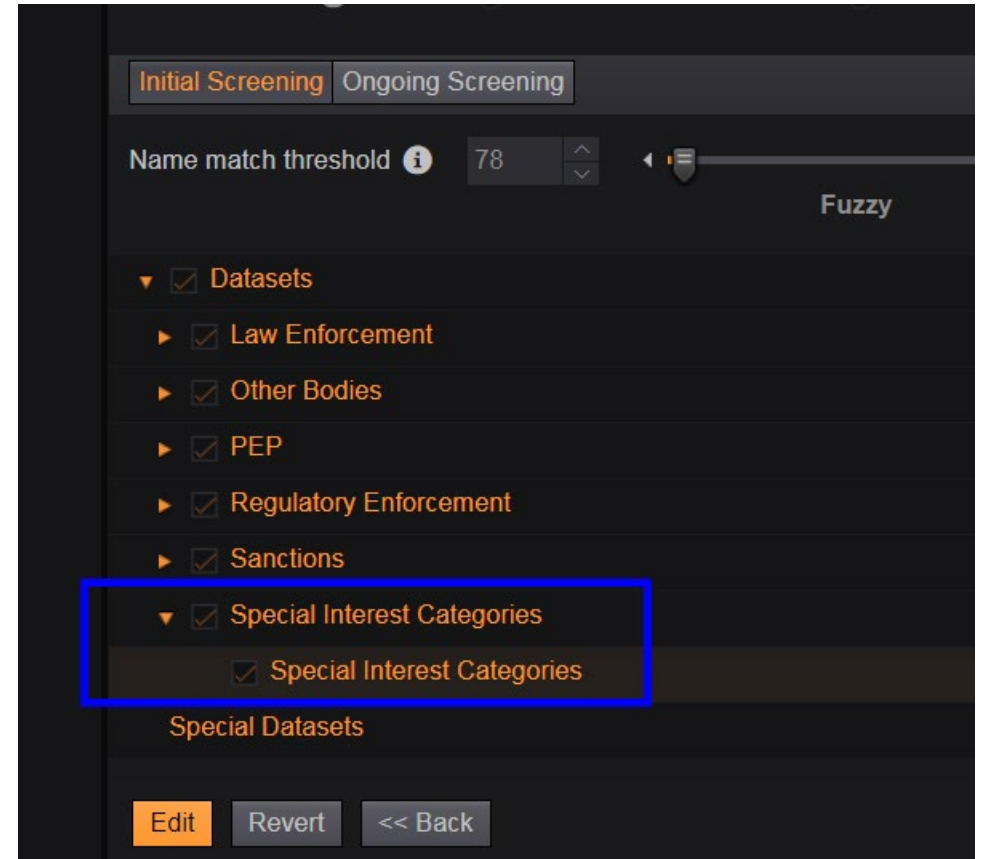
**Limited functionality at Standard level

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Special Interest Categories

Working with the World-Check One Desktop

You have the option to filter for matched records which are tagged to specific Special Interest Categories.

Within the Match screen you have the option to filter for records which are tagged to specific Special Interest Categories.

Matches with association to Special Interest Categories are easily identifiable with this label

Special Interest Categories

Working with the World-Check One Desktop - Record View

The World-Check record includes a field called Special Interest Category.

This field lists the specific Special Interest Category or Categories that the record is associated with.

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Special Interest Categories

Working with the World-Check One API

The Special Interest Categories feature is incorporated into the World-Check One API as an additional **Dataset**– within World-Check One- from there you can select the Special Interest Categories you wish to include in your screening universe.

The results will be returned as part of the record information in the API Response as illustrated here.

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Special Interest Categories Benefits
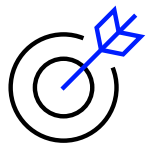
Targeted screening

Highly configurable with the ability to select specific special interest topics and include or exclude other source types in your screening criteria in line with your company screening needs and regulatory environment no matter your data delivery method.
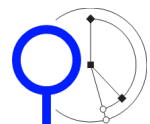
Identify and assess any potential risk faster

As records have clear indicators together with the description of the special interest categories associated to them.
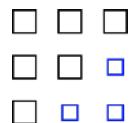
Uncover other potential risk information

Results include all special risk categories and source types associated to a record, even if that category had not been selected at the outset.

### Reduce false positives and lessen remediation time

With this more targeted approach by selecting risk areas, means both a reduction in the volume of false matches returned and remediation workload effort required.
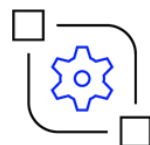
Varied delivery methods

Full functionality is available to *Advanced level subscribers through all access methods, including Data feeds , Platforms and APIs.

*Limited functionality at Standard level
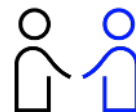
Peace of mind

By using a trusted provider that has been delivering timely and accurate adverse media data for over two decades and is used by the world's largest financial institutions and companies.
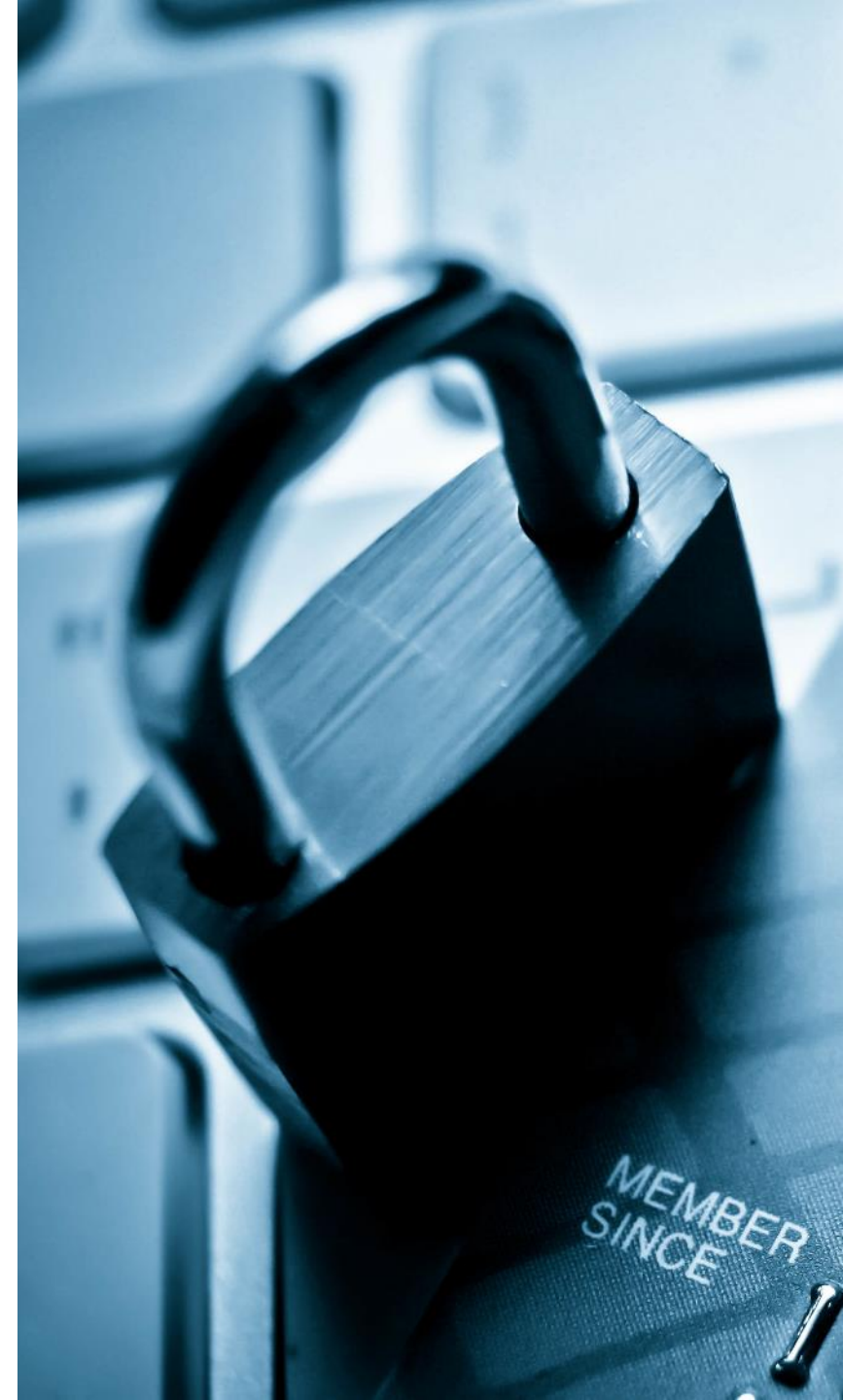
Let us help you meet the challenge

To unlock the feature's full capabilities and benefits you will need to be an *Advanced level subscriber.

To find out more speak to our representative.

* Standard user will have reduced functionality

Thank you

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